

Investor complaint data to be displayed on Website of Dalmia Securities Private Limited

Data for every month ending: **January ,February, March 2022**

**No Complaints received from any investors**

Sr.No	Received from	Pending as at end of Last month	Received during particular month*	Resolved during particular month	Total Pending during the Particular month	Pending complaints >1 month	Average Resolution <sup>^</sup> time (in days)	
1								
2								

<sup>^</sup> Average time taken = Total time taken to resolve complaints in a month/ Number of complaints resolved

Trend of Monthly disposal of complaints for the Financial year 2021-22):

Sr. No	Month	C/f from pervious month	Received during particular month	Resolved during particular month*	Pending at the end of a particular month#
	April	0	0	0	0
	May	0	0	0	0
	June	0	0	0	0
	July	0	1	1	0
	August	0	0	0	0
	Sept	0	0	0	0
	Oct	0	0	0	0
	Nov	0	0	0	0
	Dec	0	0	0	0
	Jan 22	0	0	0	0
	Feb 22	0	0	0	0
	Mar 22	0	0	0	0

\* Inclusive of complaints received during previous months

# Inclusive of complaints pending as on last day of the month.

Trend of Annual Disposal (FY) of complaints (On a rolling basis for three years)

Sr.No	Year	C/f from previous year	Received during particular year	Resolved during particular year	Pending at end of the year
1	2019-2020	0	0	0	0
2	2020-2021	0	0	0	0
3	2021-2022	0	1	1	0
4	Grand Total	0	1	1	0

Sl. No.	Name of Merchant Banker	Registration Number	Having own website	Published Investor Charter	Published data on Investor Grievances
			(Yes)	(Yes)	(Yes)
1	Dalmia Securities Private Limited	INM1000011476			